



Please type a plus sign (+) inside this box. ☐

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number IMCLON 3.3-070

First Named Inventor Xiaoling Chen

COMPLETE IF KNOWN

Application Number 10/564,267

Filing Date January 9, 2006

Group Art Unit 1625

Examiner Name Not Yet Assigned

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am an original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

HETEROCYCLIC COMPOUNDS AND THEIR USE AS ANTICANCER AGENTS

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 07/09/2004 as United States Application Number or PCT International

Application No. PCT/US2004/022226 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

Please type a plus sign (+) inside this box → ☒

PTO/SB/01 (03-01)
Approved for use through 10/31/2002, OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Customer Number 77405

Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number or Bar Code Label		77405		OR <input type="checkbox"/> Correspondence address below	
Name					
Address					
City		State		ZIP	
Country		Telephone		Email	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Xiaoling		Family Name or Surname	
				Chen	
Inventor's Signature				Date	
Residence: City		State		Country	
Brooklyn		NY		United States of America	
Mailing Address:		1051 73rd Street			
City		State		ZIP	
Brooklyn		NY		11228	
				United States of America	
NAME OF SECOND INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Hai-Ying		Family Name or Surname	
				He	
Inventor's Signature				Date	
Residence: City		State		Country	
Brooklyn		NY		United States of America	
Mailing Address:		3552 12th Avenue			
City		State		ZIP	
Brooklyn		NY		11218	
				United States of America	
<input checked="" type="checkbox"/> Additional inventors are being named on the 2 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet		Page 1 of 2	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Surname			
Joel		Kawakami			
Inventor's Signature		Date		US	
Residence: City		State HI		United States of America	
Mailing Address:		6533 Hawaii Kai Drive			
City Honolulu		State HI		Zip 96825	
Country		United States of America			
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Surname			
Alexander		Kiselyov			
Inventor's Signature		Date		US	
Residence: City		State CA		United States of America	
Mailing Address:		4203 Shorepointe Way			
City San Diego		State CA		Zip 92130	
Country		United States of America			
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Surname			
Xiaohu		Ouyang			
Inventor's Signature		Date		9-24-08	
Residence: City		State NY		United States of America	
Mailing Address:		2802 Parsons Boulevard			
City Flushing		State NY		Zip 11354	
Country		United States of America			
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Surname			
Vatee		Pattaropong			
Inventor's Signature		Date		US	
Residence: City		State MO		United States of America	
Mailing Address:		465 Saint Peter Drive			
City New Madrid		State MO		Zip 63869	
Country		United States of America			

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet	
		Page <u>2</u> of <u>2</u>	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Evgueni		Family Name or Surname Piatnitski	
Inventor's Signature		Date	
Residence: City King of Prussia	State PA	Country United States of America	Citizenship US
Mailing Address: 3000 Valley Forge Circle, Apt. 845			
City King Of Prussia	State PA	Zip 19406	Country United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Maria Carolina		Family Name or Surname Tuma	
Inventor's Signature		Date	
Residence: City Jersey City	State NJ	Country United States of America	Citizenship Brazil
Mailing Address: 140 Grace Street, #2			
City Jersey City	State NJ	Zip 07307	Country United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) John		Family Name or Surname Kincaid	
Inventor's Signature		Date	
Residence: City San Diego	State CA	Country United States of America	Citizenship US
Mailing Address: 9949 Azuaga Street			
City San Diego	State CA	Zip 92129	Country United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address:			
City	State	Zip	Country

Please type a plus sign (+) inside this box 

PTO/SB/01 (03-01)

Approved for use through 10/31/2002. OMB 0851-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input type="checkbox"/> Declaration Submitted with Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)		Attorney Docket Number	IMCLON 1.3-070
		First Named Inventor	Xiaoling Chen
		COMPLETE IF KNOWN	
		Application Number	10/564,267
		Filing Date	January 9, 2001
		Group Art Unit	1625
		Examiner Name	Not Yet Assigned

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am an original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

HETEROCYCLIC COMPOUNDS AND THEIR USE AS ANTICANCER AGENTS

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

07/09/2004

as United States Application Number or PCT International

Application No. PCT/US2004/022226 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SI-02B attached hereto.

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it carries a valid OMB control number.**DECLARATION — Utility or Design Patent Application**

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Customer Number 77405

Direct all correspondence to: ☒ Customer Number or Bar Code Label 77405 OR: ☐ Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Email

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventorGiven Name
(first and middle (if any))

Xiaoling

Family Name
(or Surname)

Chen

Inventor's
Signature

Date

Residence: City

Brooklyn

State

NY

United States of America

Citizenship

Canada

Mailing
Address:

1051 73rd Street

City

Brooklyn

State

NY

ZIP

11228

Country

United States of America

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventorGiven Name
(first and middle (if any))

Hai-Ying

Family Name
(or Surname)

He

Inventor's
Signature

Date

Residence: City

Brooklyn

State

NY

United States of America

People's Republic of China

Mailing
Address:

3552 12th Avenue

City

Brooklyn

State

NY

ZIP

11218

Country

United States of America

☒ Additional inventors are being named on the 2 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

PTO/S&D/A (07-07)
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet	
Name of Additional Joint Inventor, if any:		Page 1 of 2	
Given Name (first and middle (if any))		Family Name or Surname	
Joel		Kawakarti	
Inventor's Signature			
Residence: City	State	United States of America	Date
Honolulu	HI		US
Mailing Address:	6533 Hawaii Kai Drive		
City	State	Zip	Country
Honolulu	HI	96825	United States of America
Name of Additional Joint Inventor, if any:		A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Alexander		Kiselyo	
Inventor's Signature			
Residence: City	State	United States of America	Date
San Diego	CA		US
Mailing Address:	4203 Shorepointe Way		
City	State	Zip	Country
San Diego	CA	92130	United States of America
Name of Additional Joint Inventor, if any:		A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Xiaohu		Ouyang	
Inventor's Signature			
Residence: City	State	United States of America	Date
Flushing	NY		People's Republic of China
Mailing Address:	2802 Parsons Boulevard		
City	State	Zip	Country
Flushing	NY	11354	United States of America
Name of Additional Joint Inventor, if any:		A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Vatee		Pattaropeng	
Inventor's Signature	<i>Vatee Pattaropeng</i>		
Residence: City	State	United States of America	Date
New Madrid	MO		9-25-08
Mailing Address:	485 Saint Peter Drive		
City	State	Zip	Country
New Madrid	MO	63869	United States of America

PTO/SB/02A (07-07)

Approved for use through 06/30/2010. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet	
		Page 2 of 2	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Evgueni		Piatnitski	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
King of Prussia	PA	United States of America	US
Mailing Address: 3000 Valley Forge Circle, Apt. 845			
City	State	Zip	Country
King Of Prussia	PA	19406	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Maria Carolina		Tuma	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Jersey City	NJ	United States of America	Brazil
Mailing Address: 140 Grace Street, #2			
City	State	Zip	Country
Jersey City	NJ	07307	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
John		Kincaid	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
San Diego	CA	United States of America	US
Mailing Address: 9949 Azuaga Street			
City	State	Zip	Country
San Diego	CA	92129	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address:			
City	State	Zip	Country

Please type a plus sign (+) inside this box ☐

PTO/SB/01 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number IMCLON 3.3-070

First Named Inventor Xiaoling Chen

COMPLETE IF KNOWN

Application Number 10/564,267

Filing Date January 9, 2006

Group Art Unit 1625

Examiner Name Not Yet Assigned

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am an original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

HETEROCYCLIC COMPOUNDS AND THEIR USE AS ANTICANCER AGENTS

(Title of the Invention)

the specification of which

☐ is attached hereto
OR

☒ was filed on (MM/DD/YYYY) 07/09/2004 as United States Application Number or PCT International

Application No. PCT/US2004/022226 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

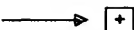
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

Please type a plus sign (+) inside this box



PTO/SB/01 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Customer Number 77405

Direct all correspondence to: ☒ Customer Number or Bar Code Label **77405** OR ☐ Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Email

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name
(first and middle (if any))

Xiaoling

Family Name
or Surname

Chen

Inventor's
Signature

Date

Residence: City

Brooklyn

State

NY

United States of America
Country

Citizenship

Canada

Mailing
Address:

1051 73rd Street

City

Brooklyn

State

NY

ZIP

11228

Country

United States of America

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name
(first and middle (if any))

Hai-Ying

Family Name
or Surname

He

Inventor's
Signature

Date

Residence: City

Brooklyn

State

NY

United States of America
Country

Citizenship

People's Republic of China

Mailing
Address:

3552 12th Avenue

City

Brooklyn

State

NY

ZIP

11218

Country

United States of America

☒

Additional inventors are being named on the 2 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet	
		Page 1 of 2	

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Joel		Kawakami	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Honolulu	HI	United States of America	US
Mailing Address: 6533 Hawaii Kai Drive			
City	State	Zip	Country
Honolulu	HI	96825	United States of America

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Alexander		Kiselyov	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
San Diego	CA	United States of America	US
Mailing Address: 4203 Shorepointe Way			
City	State	Zip	Country
San Diego	CA	92130	United States of America

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Xiaohu		Ouyang	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Flushing	NY	United States of America	People's Republic of China
Mailing Address: 2802 Parsons Boulevard			
City	State	Zip	Country
Flushing	NY	11354	United States of America

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Vatee		Pattaropong	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
New Madrid	MO	United States of America	US
Mailing Address: 465 Saint Peter Drive			
City	State	Zip	Country
New Madrid	MO	63869	United States of America

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet	
		Page 2 of 2	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Evgueni		Piatnitski	
Inventor's Signature <i>B. Piatnitski</i>		Date <i>09/23/2008</i>	
Residence: City	State	Country	Citizenship
King of Prussia	PA	United States of America	US
Mailing Address: 3000 Valley Forge Circle, Apt. 845			
City	State	Zip	Country
King Of Prussia	PA	19406	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Maria Carolina		Tuma	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Jersey City	NJ	United States of America	Brazil
Mailing Address: 140 Grace Street, #2			
City	State	Zip	Country
Jersey City	NJ	07307	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
John		Kincaid	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
San Diego	CA	United States of America	US
Mailing Address: 9949 Azuaga Street			
City	State	Zip	Country
San Diego	CA	92129	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address:			
City	State	Zip	Country

Please type a plus sign (+) inside this box  PTO/SB/01 (03-01)
Approved for use through 10/31/2002 OMB 0851-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)		Attorney Docket Number	IMCLON 3.3-070
		First Named Inventor	Xiaoling Chen
		COMPLETE IF KNOWN	
		Application Number	10/564,267
<input type="checkbox"/> Declaration Submitted with Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)		Filing Date	January 9, 2006
		Group Art Unit	1625
		Examiner Name	Not Yet Assigned

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am an original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

HETEROCYCLIC COMPOUNDS AND THEIR USE AS ANTICANCER AGENTS

(Title of the invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 07/09/2004 as United States Application Number or PCT International

Application No. PCT/US2004/022226 and was amended on (MM/DD/YYYY) (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed		Certified Copy Attached?	
			YES	NO	YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

Please type a plus sign (+) inside this box



PTO/SB/01 (03-01)

Approved for use through 10/31/2002 OMB 0851-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application**POWER OF ATTORNEY** As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. Customer Number 77405

Direct all correspondence to

Customer Number
or Bar Code Label

77405

OR ☐

Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Email

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

A petition has been filed for this unsigned inventor

Given Name

(first and middle (if any))

Xiaoling

Family Name

or Surname

Chen

Inventor's
Signature

Date

Residence: City

Brooklyn

State

NY

Country

United States of America

Citizenship

Canada

Mailing
Address:

1051 73rd Street

City

Brooklyn

State

NY

ZIP

11228

Country

United States of America

NAME OF SECOND INVENTOR:

A petition has been filed for this unsigned inventor

Given Name

(first and middle (if any))

Hai-Ying

Family Name

or Surname

He

Inventor's
Signature

Date

Residence: City

Brooklyn

State

NY

Country

United States of America

Citizenship

People's Republic of China

Mailing
Address:

3552 12th Avenue

City

Brooklyn

State

NY

ZIP

11218

Country

United States of America



Additional inventors are being named on the

2

supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

PTO/SB/02A (07-07)

Approved for use through 06/30/2010 OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION				ADDITIONAL INVENTOR(S) Supplemental Sheet		Page 1 of 2	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Joel				Kawakami			
Inventor's Signature		Date		Residence: City		Citizenship	
				Honolulu HI		United States of America US	
Mailing Address		6533 Hawaii Kai Drive		City		Country	
				Honolulu HI		United States of America	
				Zip 96825			
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Alexander				Kiselyov			
Inventor's Signature		Date		Residence: City		Citizenship	
				San Diego CA		United States of America US	
Mailing Address		4203 Shorepointe Way		City		Country	
				San Diego CA		United States of America	
				Zip 92130			
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Xiaohu				Ouyang			
Inventor's Signature		Date		Residence: City		Citizenship	
				Flushing NY		United States of America People's Republic of China	
Mailing Address		2802 Parsons Boulevard		City		Country	
				Flushing NY		United States of America	
				Zip 11354			
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Vatee				Pattaropong			
Inventor's Signature		Date		Residence: City		Citizenship	
				New Madrid MO		United States of America US	
Mailing Address		465 Saint Peter Drive		City		Country	
				New Madrid MO		United States of America	
				Zip 63869			

PTO/SB/02A (07-07)

Approved for use through 06/30/2010 OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet	
		Page 2 of 2	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Evgueni		Piatnitski	
Inventor's Signature	Date		
King of Prussia	PA	United States of America	US
Residence City	State	Country	Citizenship
Mailing Address:	3000 Valley Forge Circle, Apt. 845		
King Of Prussia	PA	19406	United States of America
City	State	Zip	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Mana Carolina		Tuma	
Inventor's Signature	Date		
Jersey City	NJ	United States of America	Brazil
Residence City	State	Country	Citizenship
Mailing Address:	140 Grace Street, #2		
Jersey City	NJ	07307	United States of America
City	State	Zip	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
John		Kincaid	
Inventor's Signature	Date		
San Diego	CA	United States of America	US
Residence City	State	Country	Citizenship
Mailing Address:	9949 Azuaga Street		
San Diego	CA	92129	United States of America
City	State	Zip	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature	Date		
Residence City	State	Country	Citizenship
Mailing Address:			
City	State	Zip	Country

Please type a plus sign (+) inside this box ☐

PTO/SB/01 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input type="checkbox"/> Declaration Submitted with Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Attorney Docket Number	IMCLON 3.3-070
	First Named Inventor	Xiaoling Chen
	COMPLETE IF KNOWN	
	Application Number	10/564,267
	Filing Date	January 9, 2006
	Group Art Unit	1625
	Examiner Name	Not Yet Assigned

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am an original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

HETEROCYCLIC COMPOUNDS AND THEIR USE AS ANTICANCER AGENTS

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 07/09/2004 as United States Application Number or PCT International

Application No. PCT/US2004/022226 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

Please type a plus sign (+) inside this box 

PTO/SB/01 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Customer Number 77405

Direct all correspondence to:		<input checked="" type="checkbox"/> Customer Number or Bar Code Label	77405	OR	<input type="checkbox"/> Correspondence address below
Name					
Address					
City		State		ZIP	
Country		Telephone		Email	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])		Xiaoling		Family Name or Surname	
Inventor's Signature		Date		Chen	
Residence: City		State		Country	
Brooklyn		NY		United States of America	
Mailing Address:		1051 73rd Street		Citizenship	
City		State		Country	
Brooklyn		NY		United States of America	
NAME OF SECOND INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])		Hai-Ying		Family Name or Surname	
Inventor's Signature		Date		He	
Residence: City		State		Country	
Brooklyn		NY		United States of America	
Mailing Address:		3552 12th Avenue		Citizenship	
City		State		Country	
Brooklyn		NY		United States of America	
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>2</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet
Page <u>1</u> of <u>2</u>	


Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Joel		Kawakami	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Honolulu	HI	United States of America	US
Mailing Address: 6533 Hawaii Kai Drive			
City	State	Zip	Country
Honolulu	HI	96825	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Alexander		Kiselyov	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
San Diego	CA	United States of America	US
Mailing Address: 4203 Shorepointe Way			
City	State	Zip	Country
San Diego	CA	92130	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Xiaohu		Ouyang	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Flushing	NY	United States of America	People's Republic of China
Mailing Address: 2802 Parsons Boulevard			
City	State	Zip	Country
Flushing	NY	11354	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Vatee		Pattaropong	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
New Madrid	MO	United States of America	US
Mailing Address: 465 Saint Peter Drive			
City	State	Zip	Country
New Madrid	MO	63869	United States of America

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet		Page 2 of 2
-------------	--	--	--	-------------

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))		Family Name or Surname		
Evgueni		Piatnitski		
Inventor's Signature		Date		
Residence: City	State	Country	Citizenship	
King of Prussia	PA	United States of America	US	
Mailing Address: 3000 Valley Forge Circle, Apt. 845				
City	State	Zip	Country	
King Of Prussia	PA	19406	United States of America	

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))		Family Name or Surname		
Maria Carolina		Tuma		
Inventor's Signature		Date		
Residence: City	State	Country	Citizenship	
Jersey City	NJ	United States of America	Brazil	
Mailing Address: 140 Grace Street, #2				
City	State	Zip	Country	
Jersey City	NJ	07307	United States of America	

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))		Family Name or Surname		
John		Kincaid		
Inventor's Signature 		Date 9/24/08		
Residence: City	State	Country	Citizenship	
San Diego	CA	United States of America	US	
Mailing Address: 9949 Azuaga Street				
City	State	Zip	Country	
San Diego	CA	92129	United States of America	

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))		Family Name or Surname		
Inventor's Signature		Date		
Residence: City	State	Country	Citizenship	
Mailing Address:				
City	State	Zip	Country	

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0032
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input type="checkbox"/> Declaration Submitted with Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Attorney Docket Number	IMCLON 3.3-070
	First Named Inventor	Xiaoping Chen
	COMPLETE IF KNOWN	
	Application Number	10/564,267
	Filing Date	January 9, 2006
	Group Art Unit	1625
	Examiner Name	Not Yet Assigned

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am an original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

HETEROCYCLIC COMPOUNDS AND THEIR USE AS ANTICANCER AGENTS

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

07/09/2004

as United States Application Number or PCT International

Application No.

PCT/US2004/022226

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

Please type a plus sign (+) inside this box ☒

PTO/SB/01 (03-01)
 Approved for use through 10/31/2002. OMB 0851-0032
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Customer Number 77405

Direct all correspondence to: ☒ Customer Number **77405** OR ☐ Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Email

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any)) **Xiaoling**

Family Name or Surname **Chen**

Inventor's Signature

Date

Residence: City **Brooklyn**

State **NY**

United States of America
Country

Citizenship **Canada**

Mailing Address:

1051 73rd Street

City **Brooklyn**

State **NY**

ZIP **11228**

United States of America
Country

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any)) **Hai-Ying**

Family Name or Surname **He**

Inventor's Signature

Date

Residence: City **Brooklyn**

State **NY**

United States of America
Country

People's Republic of China
Citizenship

Mailing Address:

3552 12th Avenue

City **Brooklyn**

State **NY**

ZIP **11218**

United States of America
Country

☒ Additional inventors are being named on the 2 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

PTO/SB/02A (07-07)

Approved for use through 06/30/2010. OMB 0851-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet	
		Page 1 of 2	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Joel		Kawakami	
Inventor's Signature		Date	
Residence: City	State	United States of America	Citizenship
Honolulu	HI		US
Mailing Address:			
6533 Hawaii Kai Drive			
City	State	Zip	Country
Honolulu	HI	96825	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Alexander		Kiselyov	
Inventor's Signature		Date	
Residence: City	State	United States of America	Citizenship
San Diego	CA		US
Mailing Address:			
4203 Shorepointe Way			
City	State	Zip	Country
San Diego	CA	92130	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Xiaohu		Ouyang	
Inventor's Signature		Date	
Residence: City	State	United States of America	Citizenship
Flushing	NY		People's Republic of China
Mailing Address:			
2802 Parsons Boulevard			
City	State	Zip	Country
Flushing	NY	11354	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Vatee		Pattaropong	
Inventor's Signature		Date	
Residence: City	State	United States of America	Citizenship
New Madrid	MO		US
Mailing Address:			
465 Saint Peter Drive			
City	State	Zip	Country
New Madrid	MO	63869	United States of America

PTO/SB/02A (07-07)

Approved for use through 06/30/2010. OMB 0851-0032

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet	
		Page 2 of 2	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Evgueni		Piatnitski	
Inventor's Signature	Date		
Residence: City	State	Country	Citizenship
King of Prussia	PA	United States of America	US
Mailing Address: 3000 Valley Forge Circle, Apt. 845			
City	State	Zip	Country
King Of Prussia	PA	19406	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Maria Carolina		Tuma	
Inventor's Signature	Date		
Residence: City	State	Country	Citizenship
Jersey City	NJ	United States of America	Brazil
Mailing Address: 140 Grace Street, #2			
City	State	Zip	Country
Jersey City	NJ	07307	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
John		Kincaid	
Inventor's Signature	Date		
Residence: City	State	Country	Citizenship
San Diego	CA	United States of America	US
Mailing Address: 9949 Azuaga Street			
City	State	Zip	Country
San Diego	CA	92129	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature	Date		
Residence: City	State	Country	Citizenship
Mailing Address:			
City	State	Zip	Country



Please type a plus sign (+) inside this box



Approved for use through 10/31/2002. OMB C651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)	Attorney Docket Number	IMCLON 3.3-070
	First Named Inventor	Xiaoling Chen
	COMPLETE IF KNOWN	
	Application Number	10/564,267
	Filing Date	January 9, 2006
<input type="checkbox"/> Declaration Submitted with Initial Filing	Group Art Unit	1625
	Examiner Name	Not Yet Assigned
<input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)		

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am an original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

HETEROCYCLIC COMPOUNDS AND THEIR USE AS ANTICANCER AGENTS

(Title of the Invention)

the specification of which

☐ is attached hereto
OR☒ was filed on (MM/DD/YYYY): 07/09/2004 as United States Application Number or PCT International

Application No. PCT/US2004/022226 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box any foreign application for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

Please type a plus sign (+) inside this box ☒ **+** PTO/SB/01 (C3-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

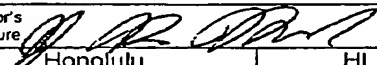
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application			
POWER OF ATTORNEY: As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Customer Number 77405			
Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number or Bar Code Label		<div style="border: 1px solid black; padding: 5px; display: inline-block;">77405</div>	
OR <input type="checkbox"/> Correspondence address below			
Name			
Address			
City		State	
Country		ZIP	
Telephone		Email	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Xiaoling		Chen	
Inventor's Signature		Date	
Residence: City		Country	
Brooklyn NY		United States of America	
Mailing Address:		Citizenship	
1051 73rd Street		Canada	
City		Country	
Brooklyn NY		United States of America	
State		ZIP	
11228		11228	
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Hai-Ying		He	
Inventor's Signature		Date	
Residence: City		Country	
Brooklyn NY		United States of America	
Mailing Address:		Citizenship	
3552 12th Avenue		People's Republic of China	
City		Country	
Brooklyn NY		United States of America	
State		ZIP	
11218		11218	
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>2</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.			

PTO/SB/02A (07-07)

Approved for use through 06/30/2010. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION				ADDITIONAL INVENTOR(S) Supplemental Sheet	
				Page 1 of 2	
Name of Additional Joint Inventor, if any:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))			Family Name or Surname		
Joel			Kawakami		
Inventor's Signature 			Date 9/24/2008		
Residence: City		State		Country	
Honolulu		HI		United States of America	
Mailing Address:		6533 Hawaii Kai Drive			
City		State		Zip	
Honolulu		HI		96825	
				United States of America	
				Country	
Name of Additional Joint Inventor, if any:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))			Family Name or Surname		
Alexander			Kiselyov		
Inventor's Signature			Date		
San Diego			US		
Residence: City		State		Country	
San Diego		CA		United States of America	
Mailing Address:		4203 Shorepointe Way			
City		State		Zip	
San Diego		CA		92130	
				United States of America	
				Country	
Name of Additional Joint Inventor, if any:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))			Family Name or Surname		
Xiaohu			Ouyang		
Inventor's Signature			Date		
Flushing			People's Republic of China		
Residence: City		State		Country	
Flushing		NY		United States of America	
Mailing Address:		2802 Parsons Boulevard			
City		State		Zip	
Flushing		NY		11354	
				United States of America	
				Country	
Name of Additional Joint Inventor, if any:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))			Family Name or Surname		
Vatee			Pattaropong		
Inventor's Signature			Date		
New Madrid			US		
Residence: City		State		Country	
New Madrid		MO		United States of America	
Mailing Address:		465 Saint Peter Drive			
City		State		Zip	
New Madrid		MO		63869	
				United States of America	
				Country	

PTO/SB/02A (07-07)

Approved for use through 06/30/2010. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet	
		Page <u>2</u> of <u>2</u>	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Evgueni		Piatnitski	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
King of Prussia	PA	United States of America	US
Mailing Address:		3000 Valley Forge Circle, Apt. 845	
City	State	Zip	Country
King Of Prussia	PA	19406	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Maria Carolina		Tuma	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Jersey City	NJ	United States of America	Brazil
Mailing Address:		140 Grace Street, #2	
City	State	Zip	Country
Jersey City	NJ	07307	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
John		Kincaid	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
San Diego	CA	United States of America	US
Mailing Address:		9949 Azuaga Street	
City	State	Zip	Country
San Diego	CA	92129	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address:			
City	State	Zip	Country

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002, OMB 0861-0032
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
 Under the Paperwork Reduction Act of 1996, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)		Attorney Docket Number	IMCLON 3.3-070	
		First Named Inventor	Xiaoling Chen	
		COMPLETE IF KNOWN		
		Application Number	10/564,267	
<input type="checkbox"/> Declaration Submitted with Initial Filing		<input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Filing Date	January 9, 2008
		Group Art Unit	1625	
		Examiner Name	Not Yet Assigned	

As a below named Inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am an original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

HETEROCYCLIC COMPOUNDS AND THEIR USE AS ANTICANCER AGENTS

(Title of the Invention)

the specification of which

☐ is attached hereto
 OR

☒ was filed on (MM/DD/YYYY) 07/09/2004 as United States Application Number or PCT International
Application No. PCT/US2004/022226 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365 (a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

Please type a plus sign (+) inside this box



Approved for use through 10/31/2002, OMB 0851-0032
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Customer Number 77405

Direct all correspondence to:

Customer Number
or Bar Code Label

77405

OR ☐

Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Email

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:



A petition has been filed for this unsigned inventor

Given Name
(first and middle (if any))

Xiaoling

Family Name
or Surname

Chen

Inventor's
Signature

Date

9/24/08

Residence: City

Brooklyn

State

NY

United States of America

Citizenship

Canada

Mailing
Address:

1051 73rd Street

City

Brooklyn

State

NY

ZIP

11228

Country

United States of America

NAME OF SECOND INVENTOR:



A petition has been filed for this unsigned inventor

Given Name
(first and middle (if any))

Hal-Ying

Family Name
or Surname

He

Inventor's
Signature

Date

Residence: City

Brooklyn

State

NY

United States of America

Citizenship

People's Republic of China

Mailing
Address:

3552 12th Avenue

City

Brooklyn

State

NY

ZIP

11218

Country

United States of America



Additional inventors are being named on the

2

supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

PTO/SB/02A (07-07)

Approved for use through 06/30/2010. OMB 0851-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet	
		Page 1 of 2	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Joel		Kawakami	
Inventor's Signature	Date		
Residence: City	State	Country	Citizenship
Honolulu	HI	United States of America	US
Mailing Address: 6533 Hawaii Kai Drive			
City	State	Zip	Country
Honolulu	HI	96825	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Alexander		Kiselyov	
Inventor's Signature	Date		
Residence: City	State	Country	Citizenship
San Diego	CA	United States of America	US
Mailing Address: 4203 Shorepointe Way			
City	State	Zip	Country
San Diego	CA	92130	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Xiaohu		Ouyang	
Inventor's Signature	Date		
Residence: City	State	Country	Citizenship
Flushing	NY	United States of America	People's Republic of China
Mailing Address: 2802 Parsons Boulevard			
City	State	Zip	Country
Flushing	NY	11354	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Vatee		Pattaropong	
Inventor's Signature	Date		
Residence: City	State	Country	Citizenship
New Madrid	MO	United States of America	US
Mailing Address: 485 Saint Peter Drive			
City	State	Zip	Country
New Madrid	MO	63869	United States of America

PTO/SB/02A (07-07)

Approved for use through 08/30/2010. OMB 0851-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Evgueni		Piatnitski	
Inventor's Signature		Date	
Residence: City	State	United States of America	Citizenship
King of Prussia	PA	United States of America	US
Mailing Address: 3000 Valley Forge Circle, Apt. 845			
City	State	Zip	Country
King Of Prussia	PA	19406	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Maria Carolina		Tuma	
Inventor's Signature		Date	
Residence: City	State	United States of America	Citizenship
Jersey City	NJ	United States of America	Brazil
Mailing Address: 140 Grace Street, #2			
City	State	Zip	Country
Jersey City	NJ	07307	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
John		Kincaid	
Inventor's Signature		Date	
Residence: City	State	United States of America	Citizenship
San Diego	CA	United States of America	US
Mailing Address: 9949 Azuaga Street			
City	State	Zip	Country
San Diego	CA	92129	United States of America
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address:			
City	State	Zip	Country

Attachment A